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WENDEROTH, LIND & PONACK

WASHINGTON, DC 20006-1021

2033 K STREET N. W.

United States Patent and Trademark Office

FILING/RECEIPT DATE

05/25/2001

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT Norio Kimura

ATTORNEY DOCKET NUMBER 2001-0660A

CONFIRMATION NO. 1632

Date Mailed: 07/20/2001

FORMALITIES LETTER

OC000000006323938*

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

2 3 2001

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Norio KIMURA et al.

Serial No. 09/864,208

Attn: BOX MISSING PARTS

Filed May 25, 2001

Docket No. 2001_0660A

SUBSTRATE POLISHING APPARATUS AND SUBSTRATE POLISHING METHOD

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents, Washington, DC 20231 THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated July 20, 2001, submitted herewith is the required Declaration and Power of Attorney.

Also enclosed are the PTO surcharge of \$130.00, required by 37 CFR 1.16(e), and a copy of the Notice.

Favorable action on the merits is now requested.

Respectfully submitted,

Norio KIMURA et al

By:

Nils E. Pedersen

Registration No. 33,145 Attorney for Applicants

NEP/lgs Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 August 6, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Norio KIMURA et al.

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Attn: BOX MISSING PARTS

Filed May 25, 2001

Attorney Docket No. 2001_0660A

SUBSTRATE POLISHING APPARATUS AND SUBSTRATE POLISHING METHOD

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration\$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Norio KIMURA et al

Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

NEP/lgs WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006-1021 Telephone (202) 721-8200 August 6, 2001

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